



RISK, COMPLIANCE AND AML TRAINING

Transaction Monitoring and Alert Investigation

3 day course

Classroom, online or in-house

Course overview

Monitoring systems generate far more alerts than any team can investigate properly, and the pressure to clear a queue is how genuine suspicion gets closed as routine. This course covers investigating well at volume. Delegates work through scenario and threshold design and what each rule is actually looking for, alert triage and the information to gather before forming a view, and the investigation itself: transaction patterns, counterparties and the customer's stated business. Writing a defensible rationale for closing or escalating is practised. Model tuning and testing follow, since a rule producing only false positives is worse than none. The course closes on quality assurance and on the regulator's view of a poorly run function.

What you will learn

- Explain what monitoring scenarios and thresholds are looking for
- Triage alerts and gather the right information before forming a view
- Investigate transaction patterns, counterparties and stated business
- Write a defensible rationale for closing or escalating an alert
- Tune and test scenarios to reduce unproductive alerts
- Run quality assurance over investigation output
- Recognise what a regulator looks for in this function

Who should attend

- Transaction monitoring analysts and investigators
- Compliance and financial crime staff
- Team leaders managing alert queues
- Internal auditors reviewing monitoring effectiveness
- Systems staff configuring monitoring rules

Course outline

- 01 Clearing a queue against forming a view
- 02 Scenario and threshold design
- 03 Alert triage and information gathering
- 04 Investigating transaction patterns
- 05 Counterparties and stated business
- 06 Writing a defensible rationale
- 07 Model tuning and testing
- 08 Quality assurance and regulatory expectations

Upcoming dates

Dates	Venue	Format	Fee per delegate
9 to 11 December 2026	Cape Town, South Africa	Classroom	R14,950
21 to 23 December 2026	Kampala, Uganda	Classroom	USD 995
4 to 6 January 2027	Online	Online	R6,500
27 to 29 January 2027	Durban, South Africa	Classroom	R14,950



Fees and how to register

This 3 day course is **R6,500** per delegate online, **R14,950** in the classroom in South Africa, Botswana, Namibia, Lesotho and Eswatini, and **USD 995** in the classroom elsewhere in Africa. In-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afriscience.co.za, or WhatsApp us on **+27 63 479 1136**.

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