



RISK, COMPLIANCE AND AML TRAINING

Regulatory Reporting for Financial Institutions

3 day course

Classroom, online or in-house

Course overview

Regulatory returns are prepared under deadline from systems that were built for accounting rather than for supervision, and a resubmission tells a regulator something about the institution well beyond the numbers being corrected. This course covers getting them right first time. Delegates work through the reporting framework and which returns apply at what frequency, the data lineage from source system to submitted figure that makes a number defensible, and reconciliation between regulatory returns and the financial statements, where differences are legitimate but must be explained. Common return families covering capital, liquidity, credit and large exposures are worked through. Validation rules and the errors that trigger rejection are covered. The course closes on governance, sign off and handling a supervisory query.

What you will learn

- Identify which returns apply, at what frequency and on what basis
- Establish data lineage from source system to submitted figure
- Reconcile returns against the financial statements and explain differences
- Prepare capital, liquidity, credit and large exposure returns
- Apply validation rules and avoid the errors that cause rejection
- Govern preparation, review and sign off
- Respond to a supervisory query and manage a resubmission

Who should attend

- Regulatory reporting and finance staff in banks
- Compliance and risk personnel
- Internal auditors reviewing returns
- Systems staff supporting reporting data
- Supervisory and central bank staff

Course outline

- 01 What a resubmission tells a regulator
- 02 The reporting framework and applicable returns
- 03 Data lineage and defensible numbers
- 04 Reconciliation to the financial statements
- 05 Capital and liquidity returns
- 06 Credit and large exposure returns
- 07 Validation rules and rejections
- 08 Governance, sign off and supervisory queries

Upcoming dates

Dates	Venue	Format	Fee per delegate
28 to 30 September 2026	Port Louis, Mauritius	Classroom	USD 995
20 to 22 January 2027	Nairobi, Kenya	Classroom	USD 995
22 to 24 February 2027	Lagos, Nigeria	Classroom	USD 995
1 to 3 March 2027	Johannesburg, South Africa	Classroom	R14,950



Fees and how to register

This 3 day course is **R6,500** per delegate online, **R14,950** in the classroom in South Africa, Botswana, Namibia, Lesotho and Eswatini, and **USD 995** in the classroom elsewhere in Africa. In-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afriscience.co.za, or WhatsApp us on **+27 63 479 1136**.

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