



GOVERNANCE, RISK AND COMPLIANCE

Financial Risk, Root Cause Analysis and Problem Solving

5 day course

Classroom, online or in-house

Course overview

This course combines financial risk assessment with the structured problem solving needed to address its causes. Delegates work through credit, market, liquidity and operational risk, the measurement approaches used for each, and the control environment that contains them. The problem solving half applies root cause techniques to financial control failures: reconciliation breaks, unexplained variances, recurring losses and control overrides. Delegates work on real failure scenarios and practise distinguishing a symptom from a cause, which in financial control is usually the difference between a fix that holds and one that produces the same loss again the following quarter.

What you will learn

- Distinguish credit, market, liquidity and operational risk and their measurement
- Assess the control environment containing each exposure
- Apply root cause techniques to financial control failures
- Distinguish a symptom from a cause in a control breakdown
- Analyse recurring losses, reconciliation breaks and control overrides
- Design corrective action that prevents recurrence
- Report a control failure and its remediation credibly

Who should attend

- Financial risk and control professionals
- Internal auditors
- Finance managers and controllers
- Operational risk staff
- Compliance professionals in financial services

Course outline

- 01 Credit, market, liquidity and operational risk
- 02 Measurement approaches and their limitations
- 03 The control environment and where it typically breaks
- 04 Root cause analysis applied to financial control failures
- 05 Symptom against cause in a control breakdown
- 06 Reconciliation breaks, variances and recurring losses
- 07 Control override, and why it recurs
- 08 Corrective action and credible reporting

Upcoming dates

Dates	Venue	Format	Fee per delegate
8 to 12 October 2026	Victoria, Seychelles	Classroom	R11,995
26 to 30 October 2026	London, United Kingdom	Classroom	R11,995
28 October to 1 November 2026	Port Louis, Mauritius	Classroom	R11,995
27 November to 1 December 2026	Dubai, UAE	Classroom	R11,995



Fees and how to register

Online **from R6,500** per delegate, classroom **from R11,995** per delegate, in-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afri-science.co.za, or WhatsApp us on **+27 63 479 1136**.

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