



RISK, COMPLIANCE AND AML TRAINING

Compliance Risk Assessment and Universe Mapping

3 day course

Classroom, online or in-house

Course overview

Compliance functions are usually resourced by argument rather than by evidence, and the argument is weak because nobody has mapped what the organisation is actually obliged to do. This course builds that map. Delegates work through constructing a regulatory universe covering every obligation the organisation carries, assessing inherent risk across likelihood and impact including regulatory and reputational consequence, and evaluating the controls that reduce it. Residual risk and the judgement behind it are covered honestly. The assessment is then used for what it is for: directing monitoring, training and resource. Refresh cycles and trigger events follow. The course closes on presenting the assessment to a board in a way that supports a resourcing decision.

What you will learn

- Construct a regulatory universe covering every obligation carried
- Assess inherent risk across likelihood and consequence
- Evaluate control effectiveness against each obligation
- Reach and defend a residual risk judgement
- Direct monitoring, training and resource from the assessment
- Set refresh cycles and respond to trigger events
- Present the assessment to support a resourcing decision

Who should attend

- Compliance officers and heads of compliance
- Risk managers and internal auditors
- Legal and regulatory affairs staff
- Business unit managers with compliance obligations
- Executives allocating compliance resource

Course outline

- 01 Resourced by argument, not by evidence
- 02 Building the regulatory universe
- 03 Inherent risk assessment
- 04 Regulatory and reputational consequence
- 05 Control evaluation
- 06 Residual risk and honest judgement
- 07 Directing monitoring, training and resource
- 08 Refresh cycles and board presentation

Upcoming dates

Dates	Venue	Format	Fee per delegate
2 to 4 December 2026	Pretoria, South Africa	Classroom	R14,950
24 to 26 February 2027	Lusaka, Zambia	Classroom	USD 995
29 to 31 March 2027	Online	Online	R6,500

Fees and how to register

This 3 day course is **R6,500** per delegate online, **R14,950** in the classroom in South Africa, Botswana, Namibia,



Lesotho and Eswatini, and **USD 995** in the classroom elsewhere in Africa. In-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afriscience.co.za, or WhatsApp us on **+27 63 479 1136**.

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