



RISK, COMPLIANCE AND AML TRAINING

Compliance Management Systems and Regulatory Reporting

3 day course

Classroom, online or in-house

Course overview

Compliance failures are rarely discovered as a single breach; they are discovered as a regulator asking a question the organisation cannot answer from its own records. This course builds the system that answers. Delegates work through the regulatory universe and the discipline of mapping every obligation the organisation actually carries, and assigning each to a named owner rather than to a department. Turning an obligation into a control follows, along with testing whether the control operates. Monitoring, assurance and the difference between the two are covered. Regulatory reporting is addressed practically: the returns, the deadlines, the data lineage behind each figure and the sign off that makes someone accountable. Breach identification, self reporting and remediation follow. Regulator relationships and inspection readiness are covered. The course closes on compliance reporting to a board and on keeping the obligation map current as rules change.

What you will learn

- Map every regulatory obligation the organisation carries
- Assign each obligation to a named owner
- Turn obligations into controls and test that they operate
- Distinguish monitoring from assurance and run both
- Meet reporting deadlines with defensible data lineage and sign off
- Identify breaches, self report and remediate
- Prepare for inspection, report to the board and keep the map current

Who should attend

- Compliance officers and compliance managers
- Legal and regulatory affairs professionals
- Risk and internal control officers
- Company secretaries with compliance oversight
- Regulatory reporting analysts in banks, insurers and telecoms

Course outline

- 01 The question you cannot answer
- 02 Mapping the regulatory universe
- 03 Named owners for obligations
- 04 From obligation to control
- 05 Control testing
- 06 Monitoring and assurance
- 07 Returns, deadlines, lineage and sign off
- 08 Breaches, regulators, inspection and board reporting

Upcoming dates



Dates	Venue	Format	Fee per delegate
30 November to 2 December 2026	Online	Online	R6,500
13 to 15 January 2027	Kigali, Rwanda	Classroom	USD 995
1 to 3 February 2027	Maseru, Lesotho	Classroom	R14,950
3 to 5 March 2027	Lusaka, Zambia	Classroom	USD 995

Fees and how to register

This 3 day course is **R6,500** per delegate online, **R14,950** in the classroom in South Africa, Botswana, Namibia, Lesotho and Eswatini, and **USD 995** in the classroom elsewhere in Africa. In-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afriScience.co.za, or WhatsApp us on **+27 63 479 1136**.

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