



RISK, COMPLIANCE AND AML TRAINING

Building an Effective Compliance Function

3 day course

Classroom, online or in-house

Course overview

Many organisations appoint a compliance officer without giving real thought to the function's mandate, resourcing or standing within the business, and then treat the resulting weakness as a personal failing. This course addresses the design question. Delegates work through mandate and the authority a compliance function needs to be effective, including access to the board and the right to be heard before a decision rather than after it. Independence, reporting lines and the conflict created when compliance reports to the business it monitors are covered. Resourcing and the realistic scope for a small function follow. The relationship with legal, risk and internal audit is addressed, since overlap wastes effort. The course closes on measuring the function and on demonstrating its value beyond avoided penalties.

What you will learn

- Define a mandate and the authority the function requires
- Secure access to the board and a voice before decisions
- Structure reporting lines that protect independence
- Resource a function realistically and scope it to that resource
- Clarify the boundary with legal, risk and internal audit
- Measure the function's effectiveness
- Demonstrate value beyond penalties avoided

Who should attend

- Newly appointed heads of compliance
- Chief executives and chief operating officers designing control functions
- Human resources leaders shaping conduct and culture programmes
- Board risk and audit committee members overseeing compliance
- Compliance officers in growing or newly regulated businesses

Course outline

- 01 A weakness of design treated as a personal failing
- 02 Mandate and authority
- 03 Access to the board
- 04 Independence and reporting lines
- 05 Conflict when compliance reports to the business
- 06 Resourcing and realistic scope
- 07 Boundaries with legal, risk and audit
- 08 Measurement and demonstrating value

Upcoming dates

Dates	Venue	Format	Fee per delegate
16 to 18 November 2026	Durban, South Africa	Classroom	R14,950
7 to 9 December 2026	Gaborone, Botswana	Classroom	R14,950
4 to 6 January 2027	Online	Online	R6,500
8 to 10 March 2027	Maseru, Lesotho	Classroom	R14,950



Fees and how to register

This 3 day course is **R6,500** per delegate online, **R14,950** in the classroom in South Africa, Botswana, Namibia, Lesotho and Eswatini, and **USD 995** in the classroom elsewhere in Africa. In-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afriscience.co.za, or WhatsApp us on **+27 63 479 1136**.

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