



TAX AND LEGAL TRAINING

Banking and Financial Services Regulation

3 day course

Classroom, online or in-house

Course overview

Financial services are licensed activities, and doing one without authorisation, or outside the terms of the licence held, is an offence rather than a commercial misstep. This course maps the framework. Delegates work through the licensing regime and which activities require authorisation, prudential requirements including capital and liquidity at the level a non specialist needs, and conduct requirements covering advice, disclosure and treating customers fairly. Consumer credit regulation is covered, including affordability assessment and reckless lending. Payments, mobile money and the regulatory questions they raise follow. Supervision, reporting and enforcement are addressed. The course closes on outsourcing, agents and where responsibility for a third party's conduct sits.

What you will learn

- Identify which activities require authorisation and under which licence
- Explain prudential requirements at a non specialist level
- Apply conduct requirements on advice, disclosure and fair treatment
- Meet consumer credit obligations including affordability assessment
- Address the regulatory position of payments and mobile money
- Meet supervisory reporting obligations and respond to enforcement
- Determine responsibility for the conduct of agents and outsourced providers

Who should attend

- Compliance officers in banks and financial institutions
- Legal counsel advising financial services businesses
- Product and credit managers
- Fintech and payments operators
- Regulators and supervisory staff

Course outline

- 01 Licensed activities and their boundaries
- 02 The licensing regime
- 03 Prudential requirements in outline
- 04 Conduct, advice and disclosure
- 05 Treating customers fairly
- 06 Consumer credit and reckless lending
- 07 Payments and mobile money
- 08 Supervision, enforcement, agents and outsourcing

Upcoming dates

Dates	Venue	Format	Fee per delegate
28 to 30 December 2026	Windhoek, Namibia	Classroom	R14,950
8 to 10 February 2027	Online	Online	R6,500
17 to 19 February 2027	Pretoria, South Africa	Classroom	R14,950

Fees and how to register

This 3 day course is **R6,500** per delegate online, **R14,950** in the classroom in South Africa, Botswana, Namibia,



Lesotho and Eswatini, and **USD 995** in the classroom elsewhere in Africa. In-house training is quotation on request. Book 2 or more delegates on this course and save 10%.

To register or request an in-house proposal, visit www.afrisciencegroup.com, email info@afriscience.co.za, or WhatsApp us on **+27 63 479 1136**.

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